

# Lecture 3. Graph parameters and trees

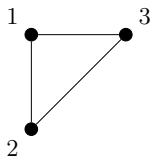
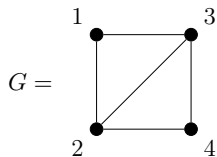
Yanbo ZHANG

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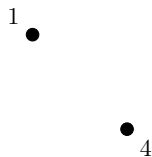
- ① Graph parameters
- ② Trees
- ③ Equivalent definitions of trees
- ④ Spanning tree

## Definition

A **clique** in  $G$  is a complete subgraph in  $G$ . An **independent set** is an empty induced subgraph in  $G$ .



clique in  $G$



independent set  
in  $G$

## Definition

Let  $\omega(G)$  denote the number of vertices in a maximum-size clique in  $G$ ; let  $\alpha(G)$  denote the number of vertices in a maximum-size independent set in  $G$ .

# Clique number and independence number

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## Claim

*A vertex set  $U \subseteq V(G)$  is a clique if and only if  $U \subseteq V(\overline{G})$  is an independent set.*

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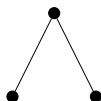
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## Corollary

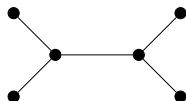
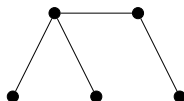
*We have  $\omega(G) = \alpha(\overline{G})$  and  $\alpha(G) = \omega(\overline{G})$ .*

## Definition

A graph having no cycle is **acyclic**. A **forest** is an acyclic graph; a **tree** is a connected acyclic graph. A **leaf** (or **pendant vertex**) is a vertex of degree 1.



forest



tree

## Lemma

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Deleting a leaf from an  $n$ -vertex tree produces a tree with  $n - 1$  vertices.*



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Every connected graph with at least two vertices has an edge. In an acyclic graph, the endpoints of a **maximum path** have only one neighbour on the path and therefore have degree 1. Hence the endpoints of a maximum path provide the two desired leaves.

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## Proof.

Every connected graph with at least two vertices has an edge. In an acyclic graph, the endpoints of a **maximum path** have only one neighbour on the path and therefore have degree 1. Hence the endpoints of a maximum path provide the two desired leaves. Suppose  $v$  is a leaf of a tree  $G$ , and let  $G' = G \setminus v$ . If  $u, w \in V(G')$ , then no  $u, w$ -path  $P$  in  $G$  can pass through the vertex  $v$  of degree 1, so  $P$  is also present in  $G'$ . Hence  $G'$  is **connected**. Since deleting a vertex cannot create a cycle,  $G'$  is also **acyclic**. We conclude that  $G'$  is a tree with  $n - 1$  vertices. □

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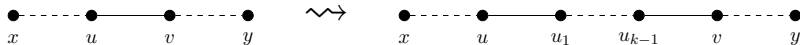
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## Lemma

*An edge contained in a cycle is not a cut-edge.*

## Proof.

Let  $(u,v)$  belong to a cycle. Then any path  $x\dots y$  in  $G$  which uses the edge  $(u,v)$  can be extended to a walk in  $G \setminus (u,v)$ .  $\square$



## Theorem

*For an  $n$ -vertex simple graph  $G$  (with  $n \geq 1$ ), the following are equivalent (and characterize the trees with  $n$  vertices).*

- *(a)  $G$  is connected and has no cycles.*
- *(b)  $G$  is connected and has  $n - 1$  edges.*
- *(c)  $G$  has  $n - 1$  edges and no cycles.*
- *(d) For every pair  $u, v \in V(G)$ , there is exactly one  $(u, v)$ -path in  $G$ .*

## Proof.

(a)  $\Rightarrow$  (b), (c): We use induction on  $n$ . For  $n = 1$ , an acyclic 1-vertex graph has no edge. For the induction step, suppose  $n > 1$ , and suppose the implication holds for graphs with fewer than  $n$  vertices. Given  $G$ , we can find a leaf  $v$  such that  $G' = G \setminus v$  is acyclic and connected. Applying the induction hypothesis to  $G'$  yields  $e(G') = n - 2$ , and hence  $e(G) = n - 1$ .

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(b)  $\Rightarrow$  (a), (c): Delete edges from cycles of  $G$  one by one until the resulting graph  $G'$  is acyclic. By the last lemma,  $G'$  is connected. By the above paragraph,  $G'$  has  $n - 1$  edges. Since this equals  $|E(G)|$ , no edges were deleted, and  $G$  itself is acyclic.  $\square$



## Proof.

(c)  $\Rightarrow$  (a), (b): Suppose  $G$  has  $k$  components with orders  $n_1, \dots, n_k$ . Since  $G$  has no cycles, each component satisfies property (a), and by the first paragraph the  $i$ th component has  $n_i - 1$  edges. Summing this over all components yields  $e(G) = \sum(n_i - 1) = n - k$ . We are given  $e(G) = n - 1$ , so  $k = 1$ , and  $G$  is connected.

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(d)  $\Rightarrow$  (a): If there is a  $u, v$ -path for every  $u, v \in V(G)$ , then  $G$  is connected. If  $G$  has a cycle  $C$ , then  $G$  has two paths between any pair of vertices on  $C$ . □

# Equivalent definitions of trees

Proof.

(a)  $\Rightarrow$  (d): Since  $G$  is connected,  $G$  has at least one  $u, v$ -path for each pair  $u, v \in V(G)$ . Suppose  $G$  has distinct  $u, v$ -paths  $P$  and  $Q$ . Let  $e = (x, y)$  be an edge in  $P$  but not in  $Q$ . The concatenation of  $P$  with the reverse of  $Q$  is a closed walk in which  $e$  appears exactly once. Hence,  $(P \cup Q) \setminus e$  is an  $x, y$ -walk not containing  $e$ . This  $x, y$ -walk contains an  $x, y$ -path, which completes a cycle with  $e$  and contradicts the hypothesis that  $G$  is acyclic. Hence  $G$  has exactly one  $u, v$ -path. □

## Definition

Given a connected graph  $G$ , a spanning tree  $T$  is a subgraph of  $G$  which is a tree and contains every vertex of  $G$ .

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## Corollary

- (a) *Every connected graph on  $n$  vertices has at least  $n - 1$  edges and contains a spanning tree;*
- (b) *Every edge of a tree is a cut-edge;*
- (c) *Adding an edge to a tree creates exactly one cycle.*

## Proof.

- (a) Delete edges from cycles of  $G$  one by one until the resulting graph  $G'$  is acyclic. Because  $G'$  is connected, it is a tree. Therefore  $G$  contains a spanning tree and has at least  $n - 1$  edges.
- (b) Note that deleting an edge from a tree  $T$  on  $n$  vertices leaves  $n - 2$  edges, so the graph is disconnected by (a).
- (c) Let  $u, v \in T$ . There is a unique path in  $T$  between  $u$  and  $v$ , so adding an edge  $(u, v)$  closes this path to a unique cycle.



*Thank you!*